

FORM PTO-1390
(REV 12-29-99)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

42592

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/980854

INTERNATIONAL APPLICATION NO.
PCT/EP00/05333

INTERNATIONAL FILING DATE
June 9, 2000

PRIORITY DATE CLAIMED
June 12, 1999

TITLE OF INVENTION
METHOD FOR PRODUCING A FLAT COMMUTATOR AND A COMMUTATOR PRODUCED ACCORDING TO THIS METHOD

APPLICANT(S) FOR DO/EO/US
Eckhard Konig

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1,000.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO. **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 445.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	0	X \$18.00
Independent claims	2 - 3 =	0	X \$80.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 445.00

Reduction of 1/2 for filing by small entity, if applicable.

\$

SUBTOTAL =

\$

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 445.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$

TOTAL FEES ENCLOSED =

\$ 445.00

Amount to be refunded:

\$

charged:

\$

a. ☒ A check in the amount of **\$ 445.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Roylance, Abrams, Berdo & Goodman, L.L.P.

1300 19th Street, N.W., Suite 600

Washington, D.C. 20036

(202) 659-9076



SIGNATURE:

Mark S. Bicks

NAME

28,770

REGISTRATION NUMBER

Due Date 12-12-01 Today's Date 12-7-01

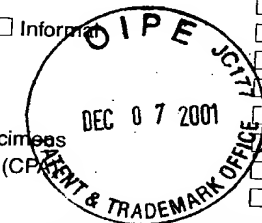
USSN/USP New RAB&G FILE NO. 42592 BY: MSB, JLM

In Re ECKHARD KÖNIG

For Method For Producing A Flat Commutator And A Commutator Produced According To This Method

The following was received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☒ Check for \$ 940.00 **09/980854** ☐ Amendment ☐ Response
☒ Specification 14 pgs. 10 claims ☐ Notice of Appeal
☒ Combined Decl., Petition & Power ☐ Brief ☐ Req. Oral Hearing
☒ Assignment ☐ Issue Fee Transmittal
☒ Drawings 2 Sheets ☒ Formal ☐ Informal ☐ Trademark Renewal Application
☐ Small Entity Statement ☐ Decl. of Use ☐ 8 ☐ 15
☐ Claim for Priority & ☐ Document ☐ Petition to Extend mos./days
☒ Information Disclosure Statement ☐ Completion of Application
☐ Trademark Application & Specimens ☒ Preliminary Amendment
☐ Rule 53(b) Appln. ☐ Rule 53(d) Appln. (CPA) ☐ Due Date Not Related To Response



JC07 Rec'd PCT/PTO 07 DEC 2001